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## Relativized Counting Classes: Relations among Thresholds, Parity, and Mods

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Well-known complexity classes such as NP, co-NP,  $\oplus P$  (PARITY-P), and PP are produced by considering a nondeterministic polynomial time Turing machine  $N$  and defining acceptance in terms of the number of accepting paths in  $N$ . That is, they are subclasses of  $P^{\#P[1]}$ . Other interesting classes such as  $MOD_k P$  and  $C_P$  are also subclasses of  $P^{\#P[1]}$ . Many relations among these classes are unresolved. Of course, these classes coincide if  $P = PSPACE$ . However, we develop a simple combinatorial technique for constructing oracles that separate counting classes. Our results suggest that it will be difficult to resolve the unknown relationships among different counting classes. In addition to presenting new oracle separations, we simplify several previous constructions. © 1991 Academic Press, Inc.

### 1. INTRODUCTION

In [26], Valiant defined the class  $\#P$  of counting functions.

**DEFINITION 1 [Valiant].**  $\#P$  is the class of functions for which there exists a nondeterministic polynomial-time Turing machine  $N$  such that  $f(x)$  is the number of accepting computations of machine  $N$  on input  $x$ .

Many classes of languages, such as NP, PP [11], US [6], and  $\oplus P$  [12, 18] are contained in  $P^{\#P[1]}$ , the class of languages computable in polynomial time by making one query to a function in  $\#P$ . The class  $MOD_k P$ , also contained in  $P^{\#P[1]}$ , is a generalization of  $\oplus P$  that has only recently begun to receive significant attention ([3, 8] and implicitly [21]). While some relations among counting classes were established in [3], many relations are still unknown. Therefore, we turn to relativizations in order to suggest which relations will be hard to establish. In this paper, we separate  $MOD_k P$  from several other counting classes via an oracle, and we simplify several constructions that were previously known. In Section 8, we also obtain some interesting oracle separations involving R and UP.

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## 2. PRELIMINARIES

We assume a basic familiarity with oracle Turing machines (see [1]). We present some notation:

*Notation 2.* • Fix a two-character alphabet  $\Sigma$  (except where otherwise specified).

- $|x|$  denotes the length of the string  $x$ .
- $A^{[n]} = \{x \in A : |x| = n\}$ .
- $A(x)$  denotes the characteristic function of the set  $A$  : 1 if  $x \in A$ , 0 if  $x \notin A$ .
- $|A|$  denotes the cardinality of the set  $A$ .
- $\text{supp}(\alpha) = \{x : \alpha(x) \neq 0\}$ .
- $p_i(n) = n^i + 1$ .
- $N_i$  denotes the  $i$ th nondeterministic oracle Turing machine. Without loss of generality we assume that  $N_i$  runs in time  $p_i(n)$  for all oracles.
- $\text{PATHS}(N^A, x)$  denotes the set of all paths of machine  $N$  on input  $x$  using oracle  $A$  (by convention, a path includes the oracle answers given by  $A$ ).
- $\text{ACCEPT}(N^A, x)$  denotes the set of accepting paths of machine  $N$  on input  $x$  using oracle  $A$ .

The classes NP, co-NP, PP,  $C=P$ ,  $\oplus P$ , and  $\text{MOD}_k P$  are defined by considering a nondeterministic polynomial-time Turing machine  $N$ , and defining acceptance in terms of the number of accepting paths in  $N$ .

**DEFINITION 3.** Let  $N$  denote a nondeterministic polynomial-time Turing machine,  $f$  a polynomial-time computable function, and  $k$  an integer greater than one in what follows:

$$\begin{aligned} \text{NP} &= \{L : (\exists N)(\forall x) \quad [x \in L \Leftrightarrow |\text{ACCEPT}(N, x)| > 0]\}. \\ \text{co-NP} &= \{L : (\exists N)(\forall x) \quad [x \in L \Leftrightarrow |\text{ACCEPT}(N, x)| = 0]\}. \\ \text{PP} &= \{L : (\exists N, f)(\forall x) \quad [x \in L \Leftrightarrow |\text{ACCEPT}(N, x)| > f(x)]\}. \\ C=P &= \{L : (\exists N, f)(\forall x) \quad [x \in L \Leftrightarrow |\text{ACCEPT}(N, x)| = f(x)]\}. \\ \oplus P &= \{L : (\exists N)(\forall x) \quad [x \in L \Leftrightarrow |\text{ACCEPT}(N, x)| \equiv 0 \pmod{2}]\}. \\ \text{MOD}_k P &= \{L : (\exists N)(\forall x) \quad [x \in L \Leftrightarrow |\text{ACCEPT}(N, x)| \equiv 0 \pmod{k}]\}. \end{aligned}$$

These classes have been considered in [3, 8, 10–12, 18, 19, 24, 25, 27]. Although the classes  $\oplus P$  and  $\text{MOD}_k P$  are sometimes defined so that the number of accepting paths is congruent to one, instead of congruent to zero, we showed in [3] that the definitions above give rise to the same classes. It is known that  $\text{NP} \subseteq \text{PP}$ ,  $\text{co-NP} \subseteq C=P \subseteq \text{PP}$ ,  $\oplus P \subseteq \text{MOD}_k P$  when  $k$  is even, and  $\oplus P = \text{MOD}_k P$  when  $k$  is a power of 2.

We assume that the reader is familiar with relativized complexity classes and machines (see [1] for background). Relativizations of the classes above are defined by relativizing the Turing machine  $N$ . Torán has shown that it does not matter whether one also relativizes the polynomial-time computation of the threshold  $f$  in the definition of PP and  $C=P$  [25], because a threshold function equal to half the number of all paths is universal.

### 3. THE INITIAL SEGMENT METHOD AND A COUNTING TRICK

For the purpose of producing an oracle separation, the easiest oracle construction technique is diagonalization. The next easiest technique is the initial segment method. We describe an initial segment construction that will form the skeleton of each construction in this paper.

Let  $C$  and  $D$  be complexity classes defined in terms of  $C$ -machines and  $D$ -machines. Suppose we want to construct an oracle  $A$  such that  $C^A \not\subseteq D^A$ . We say that  $L^A \subseteq 0^*$  is an oracle property (cf. [5]) if there is a predicate  $Q$  such that

$$0^n \in L^A \Leftrightarrow Q(A^{[n]}).$$

Our first step is to define an oracle property such that for all  $A$ ,  $L^A \in C^A$ . We construct  $A = \lim_i A_i$  in stages so that  $L^A \notin D^A$ . Since  $L^A \in C^A - D^A$ , we obtain  $C^A \not\subseteq D^A$ .

*Stage 0.* Let  $A_0 = \emptyset$  and  $n = 0$ .

*Stage  $i > 0$ .* Choose  $n'$  sufficiently large for the task at hand (the choice of  $n'$  will depend on the classes  $C$  and  $D$  in an ad hoc way so as to guarantee the existence of the set  $B$  required below). At a minimum, we require  $n' > p_{i-1}(n)$ . Choose  $B \subseteq \Sigma^{n'}$  such that

$$0^{n'} \in L^{A_i \cup B} \Leftrightarrow D\text{-machine } N_i \text{ using oracle } A_i \cup B \text{ rejects } 0^{n'}. \quad (1)$$

Let  $A_{i+1} = A_i \cup B$ , and let  $n = n'$ .

At stage  $i$  we guarantee that  $D$ -machine  $N_i^A$  does not accept  $L^A$ . (At the next stage, by choosing  $n' > p_i(n)$ , we ensure that our work at stage  $i$  is never spoiled.) The hard part of the construction consists of showing that if  $n'$  is sufficiently large then there exists a set  $B$  satisfying (1). If such a set  $B$  always exists then the construction produces an oracle  $A$  such that  $C^A \not\subseteq D^A$ . If  $C$  and  $D$  are recursive then we can search for  $B$  exhaustively, so that the oracle  $A$  constructed is recursive.

The combinatorial technique of "reversing the order of summation" provides oracles that separate a large number of counting classes. Let  $\rho$  denote a computation path. Throughout this paper we adopt the convention that a computation of

an oracle Turing machine *includes the oracle answers*. The key observation that we use is that

$$\sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| = \sum_\rho |\{B : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}|. \quad (2)$$

For each path  $\rho$ , we consider the number of extensions  $B$  that make  $\rho$  accept. While it may not be convenient to compute that number exactly, it is often possible to obtain an upper bound or a lower bound or to compute the value modulo a power of a prime. Summing over all  $B$ , we draw a strong conclusion about the left-hand side of (2). If we assume that the desired extension  $B$  does not exist, then we can similarly draw conclusions about the right-hand side of (2); in many cases we obtain a contradiction. In addition to providing new oracle separations, this technique simplifies several previous constructions.

#### 4. $\text{NP}^A$ VERSUS $\text{PARITY-P}^A$ AND $\text{MOD}_k \text{P}^A$

Cai, Hastad, Smolensky, and Yao [7, 15, 21, 28] have constructed oracles  $A$  such that  $\oplus \text{P}^A \not\subseteq \text{PH}^A$ , where  $\text{PH}$  denotes the polynomial-time hierarchy [16, 22]. We will not attempt to simplify their difficult constructions. On the other hand, Torán [25] has constructed an oracle  $A$  such that  $\text{NP}^A \not\subseteq \oplus \text{P}^A$ . Because both constructions use the initial segment technique, they can be combined to produce a single oracle that yields both separations simultaneously. This is interesting because it suggests that  $\oplus \text{P}$  is too difficult for the  $\text{PH}$ , but not as powerful as  $\text{NP}$ .

Although Torán's proof involves some nice identities, it is complex, and he admits that "probably any intuitive idea of what [the notation] represents is already gone." Like Torán, we use the initial segment method to construct an oracle  $A$  such that  $\text{NP}^A \not\subseteq \oplus \text{P}^A$ ; however, our proof that there exists a set  $B$  satisfying Eq. (1) is simple and intuitive.

**THEOREM 4.** *There exists an oracle  $A$  such that*

$$\text{NP}^A \not\subseteq \oplus \text{P}^A.$$

*Proof.* Define

$$L^A = \{0^n : A^{[n]} \neq \emptyset\}.$$

Obviously  $L^A \in \text{NP}^A$ . We construct  $A = \lim_i A_i$  via the initial segment method so as to guarantee that

$$L^A \notin \oplus \text{P}^A.$$

*Stage 0.* Let  $A_0 = \emptyset$ . Let  $n = 0$ .

*Stage  $i > 0$ .* Choose  $n' > p_{i-1}(n)$  such that  $2^{n'} > p_i(n')$ . As justified below, choose  $B \subseteq \Sigma^{n'}$  such that

$$B = \emptyset \Leftrightarrow |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \equiv 0 \pmod{2}.$$

Let  $A_{i+1} = A_i \cup B$ . Let  $n = n'$ .

Obviously the construction guarantees that  $L^A \notin \oplus P^A$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then

$$|\text{ACCEPT}(N_i^{A_i}, 0^{n'})| \not\equiv 0 \pmod{2},$$

and for all nonempty  $B \subseteq \Sigma^{n'}$ ,

$$|\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \equiv 0 \pmod{2}.$$

Summing over all  $B$  we obtain

$$\sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \not\equiv 0 \pmod{2}. \quad (3)$$

Let  $\rho$  be any path, and let  $x$  be any string of length  $n'$  not queried along  $\rho$ . Then  $B(x)$  can be assigned either of two values without the acceptance behavior of  $\rho$ , so

$$|\{B : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}| \equiv 0 \pmod{2}.$$

Summing over all  $\rho$  we obtain

$$\sum_{\rho} |\{B : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}| \equiv 0 \pmod{2}.$$

By Eq. (2),

$$\sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \equiv 0 \pmod{2},$$

contradicting (3). ■

$\oplus P$  is a special case of  $\text{MOD}_k P$ , namely  $k = 2$ . By slightly modifying the preceding proof, we obtain the result in general for  $\text{MOD}_k P$ . This theorem is new.

**THEOREM 5.** *For all  $k \geq 2$ , there exists an oracle  $A$  such that*

$$\text{NP}^A \not\subseteq \text{MOD}_k P^A.$$

*Proof.* For simplicity of exposition, we construct a mapping  $\alpha$  from  $\Sigma^*$  to  $\{0, \dots, k-1\}$  such that  $\text{NP}^\alpha \not\subseteq \text{MOD}_k P^\alpha$ , and then we convert  $\alpha$  to an equivalent set  $A$ . Let

$$L^\alpha = \{0^n : \text{supp}(\alpha)^{[n]} \neq \emptyset\}.$$

Obviously  $L^\alpha \in \text{NP}^\alpha$ . We construct  $\alpha = \lim_i \alpha_i$  via the initial segment method so as to guarantee that

$$L^\alpha \notin \text{MOD}_k \text{P}^\alpha.$$

*Stage 0.* Let  $\alpha_0$  be the constant function  $\lambda x[0]$ . Let  $n = 0$ .

*Stage  $i > 0$ .* Choose  $n' > p_{i-1}(n)$  such that  $2^{n'} > p_i(n')$ . As justified below, choose a mapping  $\beta$  from  $\Sigma^*$  to  $\{0, \dots, k-1\}$  such that  $\text{supp}(\beta) \subseteq \Sigma^{n'}$  and

$$\text{supp}(\beta) = \emptyset \Leftrightarrow |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k}.$$

(Here  $\max(u, v)$  is the function  $w$  such that for each  $x$ ,  $w(x) = \max(u(x), v(x))$ . Its salient characteristic is that  $\max(\alpha_i, \beta)(x)$  is equal to  $\alpha_i(x)$  when  $|x| < n'$ , and equal to  $\beta(x)$  when  $|x| = n'$ .) Let  $\alpha_{i+1} = \max(\alpha_i, \beta)$ . Let  $n = n'$ .

Obviously the construction guarantees that  $L^\alpha \notin \text{MOD}_k \text{P}^\alpha$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then

$$|\text{ACCEPT}(N_i^{\alpha_i}, 0^{n'})| \not\equiv 0 \pmod{k},$$

and for all  $\beta$  other than  $\lambda x[0]$

$$|\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k}.$$

Summing over all  $\beta$  we obtain

$$\sum_{\beta} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \not\equiv 0 \pmod{k}. \quad (4)$$

However, for each path  $\rho$ , there is some string  $x$  in  $\Sigma^{n'}$  that is not queried along  $\rho$ , because  $2^{n'} > p_i(n')$ . Since  $\beta(x)$  can be assigned any of  $k$  values without affecting the acceptance behavior of  $\rho$ ,

$$|\{\beta : \rho \in \text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})\}| \equiv 0 \pmod{k}.$$

Summing over all  $\rho$  we obtain

$$\sum_{\rho} |\{\beta : \rho \in \text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})\}| \equiv 0 \pmod{k}.$$

By Eq. (2),

$$\sum_{\beta} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k},$$

contradicting (4). Hence we have constructed  $\alpha$  such that

$$\text{NP}^\alpha \not\subseteq \text{MOD}_k \text{P}^\alpha.$$

Given  $\alpha$ , we construct  $A$  by using  $\lceil \log k \rceil$  bits of  $A$  to encode the value of  $\alpha(x)$  for each  $x$ . Since  $A$  is polynomial-time Turing equivalent to  $\alpha$ , we have  $\text{NP}^A = \text{NP}^\alpha$  and  $\text{MOD}_k \text{P}^A = \text{MOD}_k \text{P}^\alpha$ , so

$$\text{NP}^A \not\subseteq \text{MOD}_k \text{P}^A. \quad \blacksquare$$

Because initial segment constructions can be combined, we obtain

**COROLLARY 6.** *There exists an oracle  $A$  such that for all  $k \geq 2$ ,*

$$\text{NP}^A \not\subseteq \text{MOD}_k \text{P}^A.$$

### 5. $\text{PP}^A$ VERSUS $\oplus \text{P}^A$ AND $\text{MOD}_k \text{P}^A$

Since  $\text{NP} \subseteq \text{PP}$  [11] via a proof that relativizes, the oracle  $A$  constructed in the preceding section has the property that for all  $k \geq 2$ ,

$$\text{PP}^A \not\subseteq \text{MOD}_k \text{P}^A.$$

On the other hand, the question of whether there exists an oracle  $A$  such that  $\oplus \text{P}^A \not\subseteq \text{PP}^A$  has a long history. Although they never discussed relativizations, an oracle construction can be obtained as a corollary to Minsky and Papert's Theorem 3.1.1 in [17]. The separation was claimed to hold relative to almost all oracles by Bennett and Gill [5]; however, their proof is incorrect. A correct oracle construction is given by Torán in [25]. We present a construction that exploits a simple combinatorial symmetry: Every nonempty set  $S$  has as many subsets with odd cardinality as with even cardinality. (Why? Fix any string  $x \in S$ . A subset of  $T$  of  $S$  with odd cardinality is uniquely determined by  $T - \{x\}$ . The same is true of subsets with even cardinality.) Subsequently, we will refer to this property and similar properties as symmetry.

**THEOREM 7.** *There exists an oracle  $A$  such that*

$$\oplus \text{P}^A \not\subseteq \text{PP}^A.$$

*Proof.* Define

$$L^A = \{0^n : |A^{[n]}| \equiv 0 \pmod{2}\}.$$

Obviously  $L^A \in \oplus \text{P}^A$ . We construct  $A = \lim_i A_i$  via the initial segment method so as to guarantee that

$$L^A \notin \text{PP}^A.$$

*Stage 0.* Let  $A_0 = \emptyset$ . Let  $n = 0$ .

Stage  $i > 0$ . Choose  $n' > p_{i-1}(n)$  such that  $2^{n'} > p_i(n')$ . Compute the acceptance threshold  $t$  of  $N_i$  on input  $0^{n'}$ . As justified below, choose  $B \subseteq \Sigma^{n'}$  such that

$$|B| \equiv 0 \pmod{2} \Leftrightarrow |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \leq t.$$

Let  $A_{i+1} = A_i \cup B$ . Let  $n = n'$ .

Obviously the construction guarantees that  $L^A \notin \text{PP}^A$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then

$$|B| \equiv 0 \pmod{2} \Rightarrow |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| > t, \quad (5)$$

and

$$|B| \equiv 1 \pmod{2} \Rightarrow |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \leq t. \quad (6)$$

Summing over  $B$  with  $|B| \equiv 0 \pmod{2}$  we obtain

$$\begin{aligned} \sum_{|B| \equiv 0 \pmod{2}} |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| &> \sum_{|B| \equiv 0 \pmod{2}} t \quad \text{by (5)} \\ &= \sum_{|B| \equiv 1 \pmod{2}} t \quad \text{by symmetry} \\ &\geq \sum_{|B| \equiv 1 \pmod{2}} |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \quad \text{by (6),} \end{aligned}$$

so

$$\sum_{|B| \equiv 0 \pmod{2}} |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| > \sum_{|B| \equiv 1 \pmod{2}} |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})|. \quad (7)$$

However, for each path  $\rho$ , there is some string  $x$  in  $\Sigma^{n'}$  that is not queried along  $\rho$ , because  $2^{n'} > p_i(n')$ . Since  $B(x)$  can be either 0 or 1 without affecting the acceptance behavior of  $\rho$ ,

$$\begin{aligned} &|\{B. |B| \equiv 0 \pmod{2} : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}| \\ &= |\{B. |B| \equiv 1 \pmod{2} : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}|. \end{aligned}$$

Summing over all  $\rho$  we obtain

$$\begin{aligned} \sum_{\rho} |\{B. |B| \equiv 0 \pmod{2} : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}| \\ = \sum_{\rho} |\{B. |B| \equiv 1 \pmod{2} : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}|. \end{aligned}$$

By Eq. (2),

$$\sum_{|B| \equiv 0 \pmod{2}} |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| = \sum_{|B| \equiv 1 \pmod{2}} |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})|,$$

contradicting (7). ■



In [5] it was claimed that this result holds for almost all oracles  $A$ . Bennett and Gill used the same test language  $L^A$  that we use in our construction. Their proof depended on showing that every PP-machine  $N$  fails to compute  $L^A$  for at least one-half of all oracles  $A$ . They showed correctly that on sufficiently long inputs, every path gives the correct answer for exactly one-half of all oracles (because there is at least one string not queried on the path). However, this leaves open the possibility in principal that for 80% of all oracles we have 60% of  $N$ 's paths give the correct answer, while for the remaining 20% of all oracles we have only 10% of  $N$ 's paths give the correct answer. Then  $N$  is correct for 80% of all oracles. In fact, although we might expect that deciding membership in  $L^A$  would require  $2^n$  steps on a probabilistic Turing machine, work in progress by Steven Rudich and this author shows that for almost all oracles  $A$ , the language  $L^A$  is accepted by a probabilistic Turing machine with oracle  $A$  that runs in time  $O(n2^{n/2})$ .

We hope that some subtler counting argument might apply to PP machines and lead to a proof of Bennett and Gill's claim. We note that circuit-complexity techniques have established certain separations relative to a random oracle (see [7, 21], which are discussed at the beginning of Section 7). In order for current circuit-complexity techniques to be applicable it would be necessary to have  $\text{PH}^{\text{MOD}_k P^A} \not\subseteq \text{PH}^{\text{PP}^A}$ . However, Hastad has pointed out that this is false, because

$$\text{MOD}_k P \subseteq P^{\#P[1]} \subseteq \text{NP}^{\text{PP}[1]},$$

where the number in brackets indicates that only one query to the oracle is allowed per computation path (a similar observation appears in [21]). Hence substantially new circuit-complexity techniques may be required.

By slightly modifying the proof of Theorem 7, we obtain the separation in general for  $\text{MOD}_k P$ . This theorem is new.

**THEOREM 8.** *For all  $k \geq 2$ , there exists an oracle  $A$  such that*

$$\text{MOD}_k P^A \not\subseteq \text{PP}^A.$$

*Proof.* We will construct a function oracle  $\alpha$  from  $\Sigma^*$  to  $\{0, \dots, k-1\}$ . Let

$$L^\alpha = \left\{ 0^n : \sum_{x \in \Sigma^n} \alpha(x) \equiv 0 \pmod{k} \right\}.$$

$L^\alpha \in \text{MOD}_k P^\alpha$  via a nondeterministic Turing machine that guesses  $(i, x)$  with  $|x| = n$  and accepts if  $0 \leq i < \alpha(x)$ . (This machine has exactly  $\alpha(x)$  accepting paths for each  $x$  of length  $n$ .)

We construct  $\alpha = \lim_i \alpha_i$  via the initial segment method so as to guarantee that

$$L^\alpha \notin \text{PP}^\alpha.$$

*Stage 0.* Let  $\alpha_0$  be the constant function  $\lambda x[0]$ . Let  $n = 0$ .

Stage  $i > 0$ . Choose  $n' > p_{i-1}(n)$  such that  $2^{n'} > p_i(n')$ . Compute the acceptance threshold  $t$  of  $N_i$  on input  $0^{n'}$ . Define an equivalence class  $[s]$  by

$$\beta \in [s] \Leftrightarrow \sum_{x \in \Sigma^{n'}} \beta(x) \equiv s \pmod{k}.$$

(Note that an easy symmetry argument shows that  $|[s]| = |[t]|$  for all  $s$  and  $t$ .) As justified below, choose a mapping  $\beta$  from  $\Sigma^*$  to  $\{0, \dots, k-1\}$  such that  $\text{supp}(\beta) \subseteq \Sigma^{n'}$  and

$$\beta \in [0] \Leftrightarrow |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \leq t.$$

Let  $\alpha_{i+1} = \max(\alpha_i, \beta)$ . Let  $n = n'$ .

Obviously the construction guarantees that  $L^\alpha \notin \text{PP}^\alpha$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then

$$|\beta| \in [0] \Rightarrow |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| > t, \quad (8)$$

and

$$|\beta| \in [1] \Rightarrow |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \leq t. \quad (9)$$

Summing over  $\beta \in [0]$  we obtain

$$\begin{aligned} \sum_{\beta \in [0]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| &> \sum_{\beta \in [0]} t \quad \text{by (8)} \\ &= \sum_{\beta \in [1]} t \quad \text{by symmetry} \\ &\geq \sum_{\beta \in [1]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \quad \text{by (9),} \end{aligned}$$

so

$$\sum_{\beta \in [0]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| > \sum_{\beta \in [1]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})|. \quad (10)$$

(Note that we could have used any equivalence class  $[s]$  except  $[0]$  in place of  $[1]$ .) However, for each path  $\rho$ , there is some string  $x$  in  $\Sigma^{n'}$  that is not queried along  $\rho$ , because  $2^{n'} > p_i(n')$ . Since  $\beta(x)$  can take any value in  $\{0, \dots, k-1\}$  without affecting the acceptance behavior of  $\rho$ ,

$$|\{\beta \in [0] : \rho \in \text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})\}| = |\{\beta \in [1] : \rho \in \text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})\}|.$$

Summing over all  $\rho$  we obtain

$$\begin{aligned} \sum_{\rho} |\{\beta \in [0] : \rho \in \text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})\}| \\ = \sum_{\rho} |\{\beta \in [1] : \rho \in \text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})\}|. \end{aligned}$$

By Eq. (2),

$$\sum_{\beta \in [0]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| = \sum_{\beta \in [1]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})|,$$

contradicting (10). We obtain  $A$  from  $\alpha$  as in the proof of Theorem 7. ■

Because  $C=P \subseteq PP$  [19] via a proof that relativizes, it follows that there exists an oracle  $A$  such that for all  $k$ ,  $\text{MOD}_k P^A \not\subseteq C=P^A$ .

## 6. $NP^A$ VERSUS $C=P^A$

The class  $C=P$  was defined by Wagner in [27] and also studied by Torán. An important subclass of  $C=P$  is co-NP, which is obtained by using the constant function  $\lambda x[0]$  as the threshold. Another important subclass of  $C=P$  is the class US obtained by using the constant function  $\lambda x[1]$  for the threshold.

$$\text{US} = \{ \{ L : (\exists N)(\forall x)[x \in L \Leftrightarrow |\text{ACCEPT}(N, x)| = 1] \} \}.$$

US was studied by Blass and Gurevich [6], who showed that  $\text{co-NP} \subseteq \text{US}$ . Other subclasses of  $C=P$  are studied in [3].

Blass and Gurevich constructed an oracle  $A$  such that  $NP^A \not\subseteq \text{US}^A$ , and Torán constructed an oracle  $A$  such that more generally  $NP^A \not\subseteq C=P^A$ . We present a simple proof of Torán's result; in some ways our proof is simpler than Blass and Gurevich's.

**THEOREM 9.** *There exists an oracle  $A$  such that*

$$NP^A \not\subseteq C=P^A.$$

*Proof.* Define

$$L^A = \{ 0^n : A^{[n]} \neq \emptyset \}.$$

Obviously  $L^A \in NP^A$ . We construct  $A = \lim_i A_i$  via the initial segment method so as to guarantee that

$$L^A \notin C=P^A.$$

*Stage 0.* Let  $A_0 = \emptyset$ . Let  $n = 0$ .

*Stage  $i > 0$ .* Choose  $n' > p_{i-1}(n)$  so that  $2^{n'} > 2p_i(n')$ . Compute the acceptance threshold  $t$  of  $N_i$  on input  $0^{n'}$ . Without loss of generality, assume that  $0 \leq t \leq 2^{p_i(n')} + 1$ , because all thresholds less than 0 or greater than  $2^{p_i(n')}$  lead to rejection. As justified below, choose  $B \subseteq \Sigma^{n'}$  such that

$$B = \emptyset \Leftrightarrow |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| = t.$$

Let  $A_{i+1} = A_i \cup B$ . Let  $n = n'$ .

Obviously the construction guarantees that  $L^A \notin C = P^A$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then

$$|\text{ACCEPT}(N_i^{A_i}, 0^{n'})| \neq t, \quad (11)$$

and for all nonempty  $B \subseteq \Sigma^{n'}$

$$|\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| = t.$$

Summing over all  $B$  we obtain

$$\begin{aligned} \sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| &= |\text{ACCEPT}(N_i^{A_i}, 0^{n'})| + \sum_{B \neq \emptyset} t \\ &= |\text{ACCEPT}(N_i^{A_i}, 0^{n'})| + (2^{2^n} - 1)t \\ &= 2^{2^n}t + |\text{ACCEPT}(N_i^{A_i}, 0^{n'})| - t. \end{aligned} \quad (12)$$

Therefore by (11),

$$\sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \neq 2^{2^n}t. \quad (13)$$

Since  $0 \leq t \leq 2^{p_i(n')} + 1$  and  $0 \leq |\text{ACCEPT}(N_i^{A_i}, 0^{n'})| \leq 2^{p_i(n')}$ , subtracting inequalities yields

$$-2^{p_i(n')} - 1 \leq |\text{ACCEPT}(N_i^{A_i}, 0^{n'})| - t \leq 2^{p_i(n')}.$$

Adding  $2^{2^n}t$  and using (12) we obtain

$$2^{2^n}t - 2^{p_i(n')} - 1 \leq \sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \leq 2^{2^n}t + 2^{p_i(n')},$$

so

$$2^{2^n}t - 2^{p_i(n') + 1} < \sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| < 2^{2^n}t + 2^{p_i(n') + 1}.$$

Together with (13) this implies that

$$\sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \not\equiv 0 \pmod{2^{p_i(n') + 1}}. \quad (14)$$

However, for each path  $\rho$ , there are at least  $p_i(n') + 1$  strings  $x$  in  $\Sigma^{n'}$  that are not queried along  $\rho$ , because  $2^{n'} > 2^{p_i(n')}$ . Since membership for each of those strings can be defined arbitrarily without changing the acceptance behavior of  $\rho$ ,

$$|\{B : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}| \equiv 0 \pmod{2^{p_i(n') + 1}}.$$

Summing over all  $\rho$  we obtain

$$\sum_{\rho} |\{B : \rho \in \text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})\}| \equiv 0 \pmod{2^{p_i(n') + 1}}.$$

By Eq. (2),

$$\sum_B |\text{ACCEPT}(N_i^{A_i \cup B}, 0^{n'})| \equiv 0 \pmod{2^{p_i(n') + 1}},$$

contradicting (14). ■

Since  $\text{NP} \subseteq \text{PP}$  [11] via a proof that relativizes, the oracle  $A$  in the preceding theorem has the property that

$$\text{PP}^A \not\subseteq C_{=}P^A,$$

as communicated to us by Torán.

## 7. $\text{MOD}_j P^A$ VERSUS $\text{MOD}_k P^A$

In [3], we proved some relations between  $\text{MOD}_j P^A$  and  $\text{MOD}_k P^A$ . For example, if  $j$  is a power of a prime  $p$  and  $k$  is divisible by  $p$ , then  $\text{MOD}_j P^A \subseteq \text{MOD}_k P^A$ . When  $j$  and  $k$  are distinct primes, we think it is unlikely that  $\text{MOD}_j P^A \subseteq \text{MOD}_k P^A$ . In fact, Smolensky has separated  $\text{PH}^{\text{MOD}_j P^A}$  from  $\text{PH}^{\text{MOD}_k P^A}$  for almost all oracles. In this section, we prove a special case of his result via easier techniques: we construct an oracle  $A$  such that  $\text{MOD}_j P^A \not\subseteq \text{MOD}_k P^A$ .

**THEOREM 10.** *Let  $j > 1$ , and let  $k$  be a prime number that is not a divisor of  $j$ . There exists an oracle  $A$  such that*

$$\text{MOD}_j P^A \not\subseteq \text{MOD}_k P^A.$$

*Proof.* We will construct a function oracle  $\alpha$  from  $\Sigma^*$  to  $\{0, \dots, j-1\}$ . Let

$$L^\alpha = \{0^n : \sum_{x \in \Sigma^n} \alpha(x) \equiv 0 \pmod{j}\}.$$

As in the proof of Theorem 8,  $L^\alpha \in \text{MOD}_j P^\alpha$ . We construct  $\alpha = \lim_i \alpha_i$  via the initial segment method so as to guarantee that

$$L^\alpha \notin \text{MOD}_k P^\alpha.$$

*Stage 0.* Let  $\alpha_0$  be the constant function  $\lambda x[0]$ . Let  $n = 0$ .

*Stage  $i > 0$ .* Choose  $n' > p_{i-1}(n)$  such that  $2^{n'} > p_i(n')$ . Define an equivalence class  $[s]$  by

$$\beta \in [s] \Leftrightarrow \sum_{x \in \Sigma^{n'}} \beta(x) \equiv s \pmod{j}.$$

As justified below, choose a mapping  $\beta$  from  $\Sigma^*$  to  $\{0, \dots, j-1\}$  such that  $\text{supp}(\beta) \subseteq \Sigma^{n'}$  and one of the following two conditions holds:

- i.  $\beta \in [0]$  and  $|\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \not\equiv 0 \pmod{k}$ ,
- ii.  $\beta \in [1]$  and  $|\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \not\equiv 1 \pmod{k}$ .

Let  $\alpha_{i+1} = \max(\alpha_i, \beta)$ . Let  $n = n'$ .

In [3], we showed for prime  $k$  that  $L \in \text{MOD}_k P$  if and only if there exists a non-deterministic polynomial-time Turing machine  $N$  such that

$$|\text{ACCEPT}(N, x)| \equiv \begin{cases} 0 \pmod{k} & \text{if } x \in L \\ 1 \pmod{k} & \text{if } x \notin L. \end{cases}$$

Since that proof relativizes, the construction guarantees that  $L^\alpha \notin \text{MOD}_k P^\alpha$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then for all  $\beta$ ,

$$\beta \in [0] \Rightarrow |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k}$$

and

$$\beta \in [1] \Rightarrow |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 1 \pmod{k}.$$

Summing over  $\beta$  in each case we obtain

$$\sum_{\beta \in [0]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k}, \quad (15)$$

and (by symmetry)

$$\sum_{\beta \in [1]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv j^{2n'-1} \pmod{k}. \quad (16)$$

However, as shown in the proof of Theorem 8,

$$\sum_{\beta \in [0]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| = \sum_{\beta \in [1]} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})|,$$

so  $j^{2n'-1} \equiv 0 \pmod{k}$  by (15) and (16), a contradiction because  $j$  and  $k$  are relatively prime. We obtain  $A$  from  $\alpha$  as in the proof of Theorem 7. ■

Note that in this proof we use the assumption that  $k$  is prime and the assumption that  $j$  and  $k$  are relatively prime. It would be interesting to perform the construction making only the latter assumption.

## 8. CLASSES WITHOUT COMPLETE LANGUAGES: R AND UP

In this section we will see how to extend the preceding techniques to complexity classes like R and UP that do not seem to contain many-one complete languages. (See [20] and [13] respectively for relativized worlds where R and UP do not contain many-one complete languages. See [14] for related results.) We begin by constructing an oracle  $A$  such that  $R^A \not\subseteq \text{MOD}_k P^A$  for prime  $k$ . The new twist is that we consider only a restricted class of oracles so that the test language  $L^A$  is guaranteed to belong to  $R^A$ , meanwhile making sure that the counting argument can proceed smoothly.

**DEFINITION 11.** R consists of those languages  $L$  for which there exists a non-deterministic polynomial-time machine  $N$  such that for all  $x$ ,

$$\begin{aligned} |\text{ACCEPT}(N, x)| &\geq \frac{1}{2} |\text{PATHS}(N, x)| && \text{if } x \in L \\ |\text{ACCEPT}(N, x)| &= 0 && \text{if } x \notin L. \end{aligned}$$

**PROPOSITION 12.** If  $k$  is prime then there exists an oracle  $A$  such that

$$R^A \not\subseteq \text{MOD}_k P^A.$$

*Proof.* Let  $\Sigma$  be the  $k$ -character alphabet  $\{0, 1, \dots, k-1\}$ . We will construct a mapping  $\alpha$  from  $\Sigma^*$  to  $\Sigma$ . Let

$$L^\alpha = \{0^n : \text{supp}(\alpha)^{[n+1]} \neq \emptyset\}.$$

Obviously  $L^\alpha \in \text{NP}^\alpha$ . We construct  $\alpha = \lim_i \alpha_i$  via the initial segment method so as to guarantee that

$$L^\alpha \notin \text{MOD}_k P^\alpha.$$

In order to make the counting make out nicely, we will ensure that

$$\text{supp}(\alpha)^{[n+1]} \subseteq 0\Sigma^n \cup 1\Sigma^n - 0^{n+1}$$

and that  $\alpha$  restricted to  $\text{supp}(\alpha)^{[n+1]}$  is a constant function. We will also ensure for each  $n$  that  $|\text{supp}(\alpha)^{[n+1]}|$  is either 0 or  $k^n$ ; thus  $L^\alpha \in R^\alpha$ . Strings belonging to  $0\Sigma^n \cup 1\Sigma^n - 0^{n+1}$  will be called *relevant*.

Every  $\text{MOD}_k P$  oracle machine can be simulated by an exponential-time  $\text{MOD}_k$  machine  $E_i$  such that on input  $0^n$  each path queries exactly  $k^n - 1$  relevant strings of length  $n+1$  (and possibly some strings of other lengths). We construct  $\alpha$  so that  $L^\alpha$  is not accepted by any machine of this kind.

*Stage 0.* Let  $\alpha_0$  be the constant function  $\lambda x[0]$ . Let  $n=0$ .

*Stage  $i > 0$ .* Choose  $n' > p_{i-1}(n)$  such that  $n'$  is larger than the length of any string queried at a previous stage. Call a mapping  $\beta$  allowable if  $\text{supp}(\beta)$  contains

only relevant strings of length  $n' + 1$  and  $|\text{supp}(\beta)|$  is 0 or  $k^{n'}$ . As justified below, choose an allowable mapping  $\beta$  such that

$$\text{supp}(\beta) = \emptyset \Leftrightarrow |\text{ACCEPT}(E_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k}.$$

Let  $\alpha_{i+1} = \max(\alpha_i, \beta)$ . Let  $n = n'$ .

Obviously the construction guarantees that  $L^\alpha \notin \text{MOD}_k P^\alpha$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then

$$|\text{ACCEPT}(E_i^{\alpha_i}, 0^{n'})| \not\equiv 0 \pmod{k},$$

and for all allowable  $\beta$  except  $\lambda x[0]$ ,

$$|\text{ACCEPT}(E_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k}.$$

Summing over all allowable  $\beta$  we obtain

$$\sum_{\beta} |\text{ACCEPT}(E_i^{\max(\alpha_i, \beta)}, 0^{n'})| \not\equiv 0 \pmod{k}. \quad (7)$$

Let  $\rho$  be any accepting path. Then  $\rho$  queries exactly  $k^{n'} - 1$  relevant strings of length  $n' + 1$ . If all of the oracle answers are zero, then  $\rho$  accepts on exactly  $k$  allowable oracles ( $\beta$  restricted to the remaining  $k^{n'}$  relevant strings must be a constant function). If exactly  $j$  of the oracle answers are positive, where  $1 \leq j \leq k^{n'} - 1$ , then  $\rho$  accepts on exactly  $\binom{k^{n'} - j}{k^{n'}}$  allowable oracles, which is 0 (mod  $k$ ) because  $k$  is prime. Summing over all  $\rho$  (and restricting  $\beta$  to be allowable) we obtain

$$\sum_{\rho} |\{\beta : \rho \in \text{ACCEPT}(E_i^{\max(\alpha_i, \beta)}, 0^{n'})\}| \equiv 0 \pmod{k}.$$

By Eq. (2),

$$\sum_{\beta} |\text{ACCEPT}(E_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k},$$

contradicting (17). ■

Note that in the preceding proof, it was very helpful to have the number of relevant, but unqueried strings be a power of  $k$ . The counting would have been much more complicated if we did not strictly control the number of queries made by each path.

The preceding construction can be made to work for composite  $k$ . For each  $n$  and each prime  $p$  in the factorization of  $k$  (including repetitions), we set aside  $2p^n - 1$   $p$ -relevant strings of length  $n + 1$ , and we require that  $E_i$  query exactly  $p^n - 1$  of those strings on input  $0^n$ . We require that  $\text{supp}(\alpha)^{[n+1]}$  either contain 0 or  $p^n$   $p$ -relevant strings. Also  $\alpha$  restricted to  $p$ -relevant strings in  $\text{supp}(\alpha)^{[n+1]}$  must be a constant between 0 and  $p - 1$ . The details of the construction and proof are



left to the reader. Because the oracle constructions can be combined for all  $k$ , we obtain

THEOREM 13. *There exists an oracle  $A$  such that for every  $k$*

$$R^A \not\subseteq \text{MOD}_k P^A.$$

In [3], we defined the class  $\text{MOD}_k P$ , which is a subclass of  $\text{NP} \cap \text{MOD}_k P$ , and we showed that  $\text{FewP}$  is contained in  $\text{MOD}_k P$ . (See [8] also.)

DEFINITION 14.  $\text{MOD}_k P$  consists of those languages  $L$  for which there exists a nondeterministic polynomial-time machine  $N$  such that for all  $x$ ,

$$\begin{aligned} |\text{ACCEPT}(N, x)| &\not\equiv 0 \pmod{k} & \text{if } x \in L, \\ |\text{ACCEPT}(N, x)| &= 0 & \text{if } x \notin L. \end{aligned}$$

Since  $\text{MOD}_k P$  is closed under complement, it is clear that  $\text{MOD}_k P$  is a subset of  $\text{NP} \cap \text{MOD}_k P$ . It is not known whether  $\text{MOD}_k P$  is a *proper* subset of  $\text{NP} \cap \text{MOD}_k P$ , although we suspect that it is. For prime  $k$ , we construct an oracle relative to which that is the case; in fact it is easier to prove a stronger result.

DEFINITION 15.  $\text{UP}$  consists of those languages  $L$  for which there exists a non-deterministic polynomial-time machine  $N$  such that for all  $x$ ,

$$|\text{ACCEPT}(N, x)| = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{otherwise.} \end{cases}$$

THEOREM 16. *For all prime  $k$  there exists an oracle  $A$  such that*

$$\text{NP}^A \cap \text{co-UP}^A \not\subseteq \text{MOD}_k P^A.$$

*Proof.* Let  $\Sigma = \{0, 1\}$ . We will construct a function oracle  $\alpha$  from  $\Sigma^*$  to  $\{0, \dots, k-1\}$ . Let

$$L^A = \{0^n : \text{supp}(\alpha)^{[2^n]} \neq \emptyset\}.$$

Obviously  $L^A \in \text{NP}^A$ . We construct  $\alpha = \lim_i \alpha_i$  via the initial segment method so as to guarantee that

$$L^A \notin \text{MOD}_k P^A.$$

Our construction will also ensure for each  $n$  that

$$|\text{supp}(\alpha)^{[2^{n+1}]}| = \begin{cases} 0 & \text{if } |\text{supp}(\alpha)^{[2^n]}| \geq 0 \\ 1 & \text{otherwise;} \end{cases} \quad (18)$$

thus  $L^A \in \text{co-UP}^A$ .

*Stage 0.* Let  $\alpha_0 = \emptyset$ . Let  $n = 0$ .

*Stage  $i > 0$ .* Choose  $n' > n$  such that  $2n'$  is larger than the length of any string queried at a previous stage and  $2^{2n'} > p_i(n)$ . Call a mapping  $\beta$  *allowable* if  $\text{supp}(\beta)$  contains only strings of length  $2n'$  or  $2n' + 1$  and Eq. (18) is satisfied when  $\alpha = \beta$  and  $n = n'$ . As justified below, choose an allowable mapping  $\beta$  such that one of the following two conditions holds:

- i.  $\text{supp}(\beta)^{[2n']} = \emptyset$  and  $|\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| > 0$
- ii.  $\text{supp}(\beta)^{[2n']} \neq \emptyset$  and  $|\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \not\equiv 1 \pmod{k}$ .

In [3], we showed for prime  $k$  that  $L \in \text{MODZ}_k\text{P}$  if and only if there exists a nondeterministic polynomial-time Turing machine  $N$  such that

$$\begin{aligned} |\text{ACCEPT}(N, x)| &\equiv 1 \pmod{k} & \text{if } x \in L, \\ |\text{ACCEPT}(N, x)| &= 0 & \text{if } x \notin L. \end{aligned}$$

Since that proof relativizes, the construction guarantees that  $L^\alpha \notin \text{MODZ}_k\text{P}^\alpha$ . It remains to show that the construction is possible. Suppose that stage  $i$  cannot be accomplished. Then

$$|\text{ACCEPT}(N_i^{\alpha_i}, 0^{n'})| = 0$$

and for all allowable  $\beta$  such that  $\text{supp}(\beta)^{[2n']} \neq \emptyset$

$$|\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 1 \pmod{k}.$$

Summing over all allowable  $\beta$  we obtain

$$\sum_{\beta} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv k^{2^{2n'}} - 1 \equiv -1 \pmod{k}. \quad (19)$$

Let  $\rho$  be any accepting path (for an allowable  $\beta$ ). Then  $\rho$  must query a string belonging to  $\text{supp}(\beta)^{[2n']}$  (otherwise we can easily choose a  $\beta$  with  $\text{supp}(\beta)^{[2n']} = \emptyset$  to satisfy condition (i)). Since  $2^{2n'} > p_i(n')$  the path  $\rho$  must have failed to query at least one string  $x$  of length  $2n'$ . Since  $\rho$  queries at least one string that actually belongs to  $\text{supp}(\beta)^{[2n']}$ , there are  $k$  values that  $\beta(x)$  could take (regardless of other oracle answers) without affecting whether  $\beta$  is allowable and without affecting whether  $\rho$  accepts. Therefore  $\rho$  accepts for  $0 \pmod{k}$  choices of  $\beta$ . Summing over all  $\rho$  (and restricting  $\beta$  to be allowable), we obtain

$$\sum_{\rho} |\beta : \rho \in \text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k}.$$

By Eq. (2),

$$\sum_{\beta} |\text{ACCEPT}(N_i^{\max(\alpha_i, \beta)}, 0^{n'})| \equiv 0 \pmod{k},$$

contradicting (19). ■

COROLLARY 17. *There exists an oracle  $A$  such that for all prime  $k$ ,*

$$P^A \subset \text{MOD}_k P^A \subset NP^A \cap \text{MOD}_k P^A.$$

*Proof.* Clearly,  $\text{MOD}_k P^A \subseteq NP^A \cap \text{MOD}_k P^A$  for all  $A$ . Since  $\text{co-UP}^A$  is contained in  $\text{MOD}_k P^A$  for all  $A$  (by definition), Theorem 16 provides an oracle for which the former containment is proper. That oracle also satisfies

$$P^A \subset \text{co-NP}^A \cap \text{UP}^A \subseteq \text{UP}^A \subseteq \text{MOD}_k P^A$$

(the last containment being obtained by definition), so  $P^A \subset \text{MOD}_k P^A$ . Finally, note that the constructions for distinct values of  $k$  can all be combined. ■

The preceding theorem also answers a question posed by Ken Regan:

COROLLARY 18. *There exists an oracle  $A$  such that*

$$P^A \subset \text{UP}^A \subset NP^A \cap \text{US}^A.$$

*Proof.* By definition,  $\text{UP}^A \subseteq NP^A \cap \text{US}^A$  for all  $A$ . Since  $\text{co-UP}^A \subseteq \text{co-NP}^A \subseteq \text{US}^A$  [6] and  $\text{UP}^A \subseteq \text{MOD}_k P^A$  (by definition) for all  $A$ , Theorem 16 provides an oracle  $A$  for which the former containment is proper. As in the preceding proof,  $P^A \subset \text{UP}^A$ . ■

## 9. KNOWN INCLUSIONS AND SEPARATIONS

In the table below we indicate whether the class in the left column is a subset of the class in the top row. In parentheses we indicate whether the relation holds for at least one, for almost all, or for all oracles. The numbers  $j$  and  $k$  denote two distinct primes. The results in this table are due to [3, 5, 21, 25] and this paper.

	$NP^A$	$PH^A$	$C=P^A$	$PP^A$	$\text{MOD}_k P^A$	$\text{MOD}_j P^A$	$\text{MOD}_{k'} P^A$
$NP^A$		$\subseteq (\forall)$	$\not\subseteq (\exists)$	$\subseteq (\forall)$	$\not\subseteq (\exists)$		
$PH^A$	$\not\subseteq (\text{a.a.})$		$\not\subseteq (\exists)$	?	$\not\subseteq (\exists)$		
$C=P^A$	$\not\subseteq (\text{a.a.})$	$\not\subseteq (\text{a.a.})$		$\subseteq (\forall)$	$\not\subseteq (\text{a.a.})$		
$PP^A$	$\not\subseteq (\text{a.a.})$	$\not\subseteq (\text{a.a.})$	$\not\subseteq (\exists)$		$\not\subseteq (\text{a.a.})$		
$\text{MOD}_k P^A$	$\not\subseteq (\text{a.a.})$	$\not\subseteq (\text{a.a.})$	$\not\subseteq (\exists)$	$\not\subseteq (\exists)$		$\not\subseteq (\text{a.a.})$	$= (\forall)$

Techniques similar to those in this paper have been used to separate other kinds of classes in [2, 9].

## 10. OPEN PROBLEMS

The last two sections suggest several open problems. We mention the most interesting ones below:

- Is  $\text{MOD}_j P \subseteq \text{MOD}_k P$  for some  $j$  and  $k$  relatively prime?
- Is  $\text{PH} \subseteq \text{PP}$ ? This is interesting in light of the recent discovery that  $P^{\text{NP}[\log]} \subseteq \text{PP}$  [4] and the very exciting discovery that  $\text{PH} \subseteq P^{\text{PP}}$  [23].
- Is  $\oplus P^A$  or  $\text{PSPACE}^A$  contained in  $\text{PP}^A$  for a random oracle  $A$ ?
- Does  $\text{MOD}_k P$  have complete sets?

## 11. ACKNOWLEDGMENTS

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*Note added in proof.* We have recently learned that T. Gunderman used some related techniques in his doctoral dissertation (1988) at Friedrich-Schiller Universität, Jena, Germany.

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